

PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

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Total Number of Pages in This Submission

Application Number	10/646,897
Filing Date	08/22/2003
First Named Inventor	WARREN M. FARNWORTH
Art Unit	2812
Examiner Name	
Attorney Docket Number	01-1059.1

ENCLOSURES (Check all that apply)

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	THE LAW OFFICE OF STEPHEN A. GRATTON		
Signature			
Printed name	Stephen A. Gratton		
Date	12/30/2004	Reg. No.	28,418

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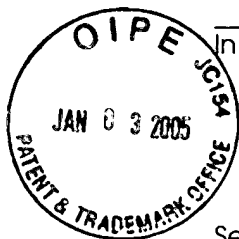
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

WARREN M. FARNWORTH
ALAN G. WOOD
TRUNG TRI DOAN

Serial No.: 10/646,897

ART UNIT: 2812

Filing Date: 08/22/2003

EXAMINER:

FOR: ENCAPSULATED SEMICONDUCTOR COMPONENTS
AND METHOD OF FABRICATION

Docket No. 01-1059.1

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS**

DECEMBER 30, 2004

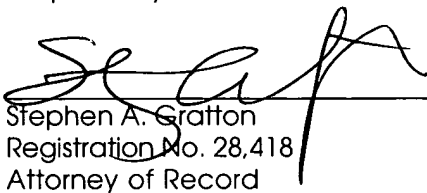
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Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the Deposit Account 07-1857.

Respectfully submitted:



Stephen A. Gratton
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Attorney of Record

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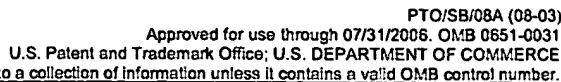
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(Use as many sheets as necessary)

of 1

Attorney Docket Number

01-1059 1

[illegible][illegible]Date
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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